

Change of Broker/Dealer Form



Mail application to: Thornburg, PO Box 219017, Kansas City, MO 64121

1. Account Information

Account Number(s)

Account Title

Social Security Number

Email Address

Phone

Alternate Phone

2. Former Broker/Dealer

Firm

Branch Number

Representative Name

Representative Number

Branch Address

Phone

3. New Broker/Dealer

Firm

Branch Number

Representative Name

Representative Number

Branch Address

Phone

4. Shareholder Authorization Signature

In order to change the Broker/Deal on an account, we require all shareowner signatures OR the signature from the releasing and accepting broker/dealer.

Shareowner(s) Authorization Signatures:

Shareowner _____ Date _____

Shareowner _____ Date _____

Shareowner _____ Date _____

Shareowner _____ Date _____

OR

Authorized Signer Accepting Broker/Dealer:

Registered Principal _____ Date _____

and

Authorized Signer Releasing Broker/Dealer:

Registered Principal _____ Date _____